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Docket No.: 070456-0151 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Hideki MORIGUCHI, et al. : Confirmation No.: Not Yet Assigned

Application No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filed: September 18, 2006 : Examiner: Not Yet Assigned

For: SURFACE-COATED CUTTING TOOL

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed with the application and no certification or fee is required.

A copy of the foreign search report is attached for the Examiner's information. Please note this is a PCT application in the entry of the National Phase in the U.S. and copies of the references cited were transmitted by WIPO and are believed to be in the file of the above identified application and readily available to the Examiner. Therefore it is believed that Applicants have met all requirements regarding duty of disclosure under 37

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CFR 1.56. Acknowledgement and consideration of these documents are respectfully requested.

However, to ensure that these references are available to the Examiner, we are providing copies of these references herewith. Since the Search Report was from the U.S. JPO or EPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above identified application and readily available to the Examiner.

The relevance of JP 2793773, JP 3347687, and JP 2003-34859 A, is discussed in the present specification.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Stephen A. Becker Registration No. 26,527

600 13th Street, N.W. Washington, DC 20005-3096 Phone: 202.756.8000 SAB:aem

Facsimile: 202.756.8087

Date: September 18, 2006

Please recognize our Customer No. 20277 as our correspondence address.

SHEET 1 OF 1 SERIAL NO. 159327 INFORMATION DISCLOSURE ATTY. DOCKET NO. **Not Yet Assigned** 070456-0151 CITATION IN AN **APPLICATION APPLICANT** Hideki MORIGUCHI, et al. GROUP FILING DATE (PTO-1449) September 18, Not Yet Assigned 2006 **U.S. PATENT DOCUMENTS EXAMINER'S** Name of Patentee or Applicant of Cited CITE Document Number **Publication Date** Pages, Columns, Lines, Where INITIALS NO MM-DD-YYYY Document Relevant Passages or Relevant Number-Kind Code2 (d known) Figures Appear 2003/0148145 A1 08-07-2003 US Yamamoto et al. Corresponds to JP 2003-34859 A FOREIGN PATENT DOCUMENTS **EXAMINER'S** Foreign Patent Document Name of Patentee or Publication Date Pages, Columns, Lines Translation INITIALS Country Codes-Number 4 -Kind Applicant of Cited Document MM-DD-YYYY Where Relevant CITE Yes No Codes (if known) NO. Figures Appear JP 2004-74361 A 03-11-2004 SUMITOMO ELECTRIC IND JAPAN (w/English LTD Abstract) 01-15-2004 HITACHI TOOL JAPAN (w/English JP 2004-9267 A **ENGINEERING LTD** Abstract) JP 2003-34859 A 02-07-2003 KOBE STEEL LTD Corresponds to JAPAN (w/English Abstract) US 2003/0148145 A1 JP 2004-66361 A 03-04-2004 SUMITOMO ELECTRIC IND JAPAN (w/English Abstract) JP 2004-82230 A 03-18-2004 HITACHI TOOL JAPAN (w/English **ENGINEERING LTD** Abstract) JP 2004-238736 A 08-26-2004 HITACHI TOOL JAPAN (w/English **ENGINEERING LTD** Abstract) JP 2005-126736 A 05-19-2005 HITACHI TOOL JAPAN (w/English **ENGINEERING LTD** Abstract) JP 2002-331408 A 11-19-2002 HITACHI TOOL JAPAN (w/English **ENGINEERING LTD** Abstract) JP 2000-326107 A 11-28-2000 HITACHI TOOL JAPAN (w/English **ENGINEERING LTD** Abstract) JP 7-310174 A KOBE STEEL LTD 11-28-1995 Corresponds to English Abstract JP 2793773 only JP 2793773 09-03-1998 SHINKO KOBELCO TOOL Corresponds to KABUSHIKI KAISHA JP 7-310174 A JP 2000-326108 A 11-28-2000 HITACHI TOOL Corresponds to **English Abstract ENGINEERING LTD** only JP 3347687 JP 3347687 11-20-2002 HITACHI TOOL Corresponds to **ENGINEERING LTD** JP 2000-326108 A OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER'S** Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, INITIALS journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where CITE NO. /Willmon Fridie Jr/ **DATE CONSIDERED** 09/14/2008

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.